

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------------|---|------------------------|
| In re Patent of: |) | |
| | : | Examiner: M. S. Zimmer |
| H. Ueno, et al. |) | |
| | : | Group Art Unit: 1712 |
| |) | |
| Application No.: 10/656,119 | : | Confirmation No.: 7055 |
| |) | |
| Filed: September 8, 2003 | : | |
| |) | |
| For: SILICONE RESIN | : | |
| COMPOSITIONS |) | |
| | : | |
| Patent No.: 6,972,314 B2 |) | |
| | : | |
| Issued: December 6, 2005 |) | June 22, 2007 |

Mail Stop -- Petitions
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

REQUEST TO CORRECT ASSIGNEE UNDER 37 C.F.R. § 3.81(b)

Sir:

Patentees hereby petition the Commissioner for Patents under 37 C.F.R. § 1.183(b) to correct the Assignee for Patent No. 6,972,314 B2.

Previously, Patentees on August 4, 2006 submitted a Request for Certificate of Correction (copy attached as Exhibit A). The U.S. patent and Trademark Office ("PTO") issued a paper dated August 14, 2006 denying that Request (copy attached as Exhibit B). In that paper, the PTO noted that such correction must be made under 37 C.F.R. § 3.81(b)

and identified three items of information to be included in a submission under 37 C.F.R. § 3.81(b).

Assignment documents in favor of the two Assignees were submitted for recordation (copy attached as Exhibit C) before the issuance of the subject patent.

In preparing the issue fee transmittal (Form PTOL-85), Patentees inadvertently omitted one of the Assignees' name and residence, which was filed in the PTO on June 20, 2005. The first Assignee's name and address was provided on the Assignment previously recorded on September 8, 2003, Reel 014485, Frame 0121 (copy attached as Exhibit C, see Assignment 1). The omitted Assignee's name and address was provided on the Assignment previously recorded on June 21, 2005, Reel 016705, Frame 0815 (copy attached as Exhibit C, see Assignment 3).

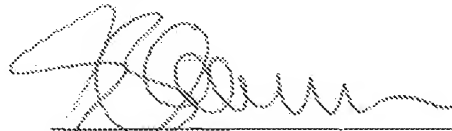
The Commissioner is authorized to charge the processing fee set forth in 37 C.F.R. § 1.117(h) a fee of \$130.00 to Deposit Account No. 01-1250 as well as any other fee needed for entry and consideration of this Request.

If the Request to Correct Assignee is granted, Patentees request that the file be forwarded to the Certificates of Correction Branch for issuance of a Certificate of Correction.

Patentees have attached a Certificate of Correction PTO Form 1050 for the Commissioner's convenience. If a fee is due in connection with the Certificate of Correction, the Commissioner is authorized to charge the sum due therefore to Deposit Account No. 01-1250.

Patentees' undersigned attorney may be reached by telephone at (860) 571-5001, facsimile at (860) 571-5028 or email at steve.bauman@us.henkel.com. All correspondence should be directed to the address given below.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'S. Bauman', is written over a horizontal line.

Steven C. Bauman
Registration No. 33,832
Attorney for Patentees

Henkel Corporation
Legal Department
1001 Trout Brook Crossing
Rocky Hill, CT 06067
Customer No. 31217

EXHIBIT A

ICC-273

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------------|---|------------------------|
| In re Patent of: |) | |
| | : | Examiner: M. S. Zimmer |
| H. Ueno, et al. |) | |
| | : | Group Art Unit: 1712 |
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| Application No.: 10/656,119 | : | Confirmation No.: 7055 |
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| COMPOSITIONS |) | |
| | : | |
| Patent No.: 6,972,314 B2 |) | |
| | : | |
| Issued: December 6, 2005 |) | August 4, 2006 |

Mail Stop -- Certificate of Corrections Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION
UNDER 37 C.F.R. § 1.323

Sir:

It is respectfully requested that a Certificate of Correction be issued by the Patent and Trademark Office ("PTO") due to errors which appear in the printed patent as a result of PTO mistakes, and clerical mistakes which were not the fault of the PTO. A Certificate of Correction Form PTOL-1050 is enclosed.

Facts Supporting Request:

Patentees filed the application which matured as the subject patent and inadvertently failed to list two of the named inventors -- Ikuo Takahasi and Hideshi Tomita. Patentees later recognized this error during the pendency of the application and filed on June 20, 2005 a Request to Correct Inventorship Under 37 C.F.R. § 1.48(a)(1). Patentees received a Decision on Petition Under 37 C.F.R. § 1.48(a) mailed August 10, 2005, which denied the Request because of the alleged failure to provide a statement from one of the omitted inventors that the error in inventorship occurred without deceptive intent. Patentees filed on February 2, 2005 a Response to Decision on Petition Under 37 C.F.R. § 1.48(a) indicating that that identified statement was indeed filed, but nevertheless furnished a second copy thereof for convenience. On November 3, 2005 a Decision on Petition was mailed by the PTO indicating that the Request had been granted.

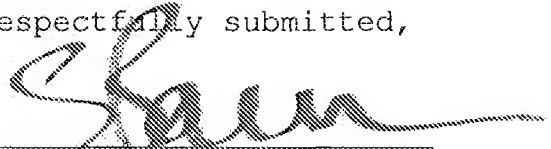
In preparing the issue fee transmittal (Form PTOL-85), Patentees omitted one of the Assignees' name and residence, which was filed in the PTO on June 20, 2005. The first Assignee's name and address was provided on the Assignment previously recorded on September 8, 2003, Reel

014485, Frame 0121 (copy attached as Exhibit A, see Assignment 1). The omitted Assignee's name and address was provided on the Assignment previously recorded on June 21, 2005, Reel 016705, Frame 0815 (copy attached as Exhibit A, see Assignment 3).

Since as noted above, one of the errors for which a Certificate of Correction is sought was Patentees mistake, the Commissioner is authorized to charge a fee of \$100.00 to Deposit Account No. 12-2135. Should any fees be due for entry and consideration of this Certificate of Correction that have not been accounted for, the Commissioner is authorized to charge them to Deposit Account No. 12-2135.

Patentees' undersigned attorney may be reached by telephone at (860) 571-5001, facsimile at (860) 571-5028 or email at steve.bauman@us.henkel.com. All correspondence should be directed to the address given below.

Respectfully submitted,



Steven C. Bauman
Registration No. 33,832
Attorney for Patentees

Henkel Corporation
Legal Department
1001 Trout Brook Crossing
Rocky Hill, CT 06067
Customer No. 31217



Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 3

Patent #: 6972314

Issue Dt: 12/06/2005

Application #: 10656119

Filing Dt: 09/08/2003

Publication #: US20040127669

Pub Dt: 07/01/2004

Inventors: Hideki Ueno, Hiroyuki Kayaki, Ikuo Takahashi, Hideshi Tomita

Title: SILICONE RESIN COMPOSITION

Assignment: 1

Reel/Frame: 014485/0121

Recorded: 09/08/2003

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: UENO, HIDEKI

Exec Dt: 09/04/2003

KAYAKI, HIROYUKI

Exec Dt: 09/04/2003

Assignee: HENKEL LOCTITE CORPORATION

1001 TROUT BROOK CROSSING

ROCKY HILL, CONNECTICUT

Correspondent: HENKEL LOCTITE CORPORATION

STEVEN C. BAUMAN

1001 TROUT BROOK CROSSING

ROCKY HILL, CT 06067

Assignment: 2

Reel/Frame: 016705/0755

Recorded: 06/21/2005

Pages: 4

Conveyance: MERGER (SEE DOCUMENT FOR DETAILS).

Assignor: HENKEL LOCTITE CORPORATION

Exec Dt: 12/16/2003

Assignee: HENKEL CORPORATION

1001 TROUT BROOK CROSSING

ROCKY HILL, CONNECTICUT

Correspondent: STEVEN C. BAUMAN

HENKEL CORPORATION

1001 TROUT BROOK CROSSING

ROCKY HILL, CT 06067

Assignment: 3

Reel/Frame: 016705/0815

Recorded: 06/21/2005

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: TAKAHASHI, IKUO

Exec Dt: 06/15/2005

TOMITA, HIDESHI

Exec Dt: 06/15/2005

Assignee: NISSHINBO INDUSTRIES, INC.

31-11, NINGYOCHO 2-CHOME, NIHONBASHI, CHUO-KU

TOKYO 103-8650, JAPAN

Correspondent: STEVEN C. BAUMAN

HENKEL CORPORATION

1001 TROUT BROOK CROSSING

ROCKY HILL, CT 06067

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 6,972,314 B2
APPLICATION NO. : 10/656,119
ISSUE DATE : December 6, 2005
INVENTOR(S) : Ueno et al.

It is certified that an error or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page, (75) Inventors, add --Ikuo Takahashi-- and --Hideshi Tomita--.

Title page, (73) Assignee, add --Nisshinbo Industries, Inc., Tokyo, Japan (JP)--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Henkel Corporation
Legal Department
1001 Trout Brook Crossing
Rocky Hill, CT 06067

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

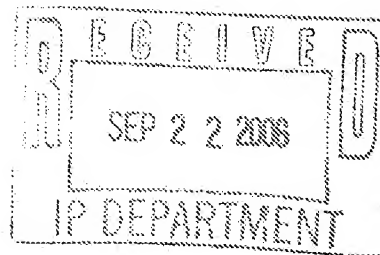
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXHIBIT B

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

August 14, 2006

Steven C. Bauman
HENKEL CORPORATION
Legal Department
1001 Trout Brook Crossing
Rock Hill, CT 06067



Patent No.: 6,972,314 B2
Application No.: 10/656,119
Inventor(s): Hideki Ueno, et al.
Issued: December 6, 2005
Title: SILICONE RESIN COMPOSITION

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing incorrect or erroneous assignment data, *before* issuance of a Certificate of Correction, under 37 CFR 1.323 (*see Manual of Patent Examining Procedures (M.P.E.P.) Chp. 1400, sect. 1481*). This procedure is required *at any time after the issue fee is paid*, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1.117(h) (currently \$130);
- B. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and

DOCKETED

BY: _____
DATE: _____
COMMENTS: _____

- C. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of *the date* the assignment was submitted for recordation.

In the Request, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS
 Commissioner for Patents
 Post Office Box 1450
 Alexandria, VA 22313-1450

By hand: Customer Service Window
 Mail Stop Petitions
 Randolph Building
 401 Dulany Street
 Alexandria, VA 22314

By fax: (703) 872-9306
 ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

A certificate of correction will be issued to correct the remaining errors mentioned in your request.



Virginia Tolbert
For Cecelia Newman
Decisions & Certificate of Correction Branch
(703) 305-8309 or (703) **308-9390 ext 113**



United States Patent and Trademark Office

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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 3

Patent #: 6972314

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Assignors: UENO, HIDEKI

Exec Dt: 09/04/2003

KAYAKI, HIROYUKI

Exec Dt: 09/04/2003

Assignee: HENKEL LOCTITE CORPORATION

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ROCKY HILL, CONNECTICUT

Correspondent: HENKEL LOCTITE CORPORATION

STEVEN C. BAUMAN

1001 TROUT BROOK CROSSING

ROCKY HILL, CT 06067

Assignment: 2

Reel/Frame: 016705/0755

Recorded: 06/21/2005

Pages: 4

Conveyance: MERGER (SEE DOCUMENT FOR DETAILS).

Assignor: HENKEL LOCTITE CORPORATION

Exec Dt: 12/16/2003

Assignee: HENKEL CORPORATION

1001 TROUT BROOK CROSSING

ROCKY HILL, CONNECTICUT

Correspondent: STEVEN C. BAUMAN

HENKEL CORPORATION

1001 TROUT BROOK CROSSING

ROCKY HILL, CT 06067

Assignment: 3

Reel/Frame: 016705/0815

Recorded: 06/21/2005

Pages: 3

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Assignors: TAKAHASHI, IKUO

Exec Dt: 06/15/2005

TOMITA, HIDESHI

Exec Dt: 06/15/2005

Assignee: NISSHINBO INDUSTRIES, INC.

31-11, NINGYOCHO 2-CHOME, NIHONBASHI, CHUO-KU

TOKYO 103-8650, JAPAN

Correspondent: STEVEN C. BAUMAN

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UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTIONPage 1 of 1

PATENT NO. : 6,972,314 B2
APPLICATION NO. : 10/656,119
ISSUE DATE : December 6, 2005
INVENTOR(S) : Ueno et al.

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Title page, (73) Assignee, add --Nisshinbo Industries, Inc., Tokyo, Japan (JP)--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Henkel Corporation
Legal Department
1001 Trout Brook Crossing
Rocky Hill, CT 06067

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